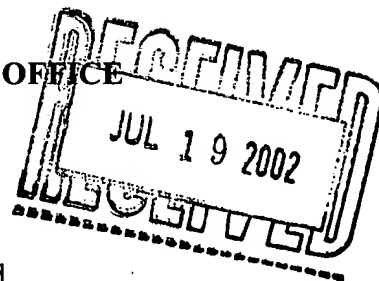




2164 #8
Attorney Docket No.: 3499-094

Patent 7-19-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: LAWRENCE

Application No.: 09/812,627

Filing Date: March 20, 2001

For: AUTOMATED GLOBAL RISK
MANAGEMENT

)
)
) Group Art Unit: 2164
) 3624

) Examiner: Not yet assigned
) Subramanian

) SUPPLEMENTAL INFORMATION
) DISCLOSURE STATEMENT

) Attorney Docket No.: 3499-094

) Buckley, Maschoff, Talwalkar & Allison LLC
) 5 Elm Street
) New Canaan, CT 06840
) (203) 972-0006
)
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on July 8, 2002.

Dated: July 8, 2002

By:

Edith Martin

Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b);
(within 3 months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
 - ☐ \$ _ fee under 37 CFR 17(p); or
(after 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

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- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e),
 - ☐ Petition under 37 CFR 1.97(d)(2)(ii), and
 - ☐ \$ _ petition fee under 37 CFR 17(i).
- (after 37 CFR 1.97(c) time period, but before payment of issue fee)

Applicant submits herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

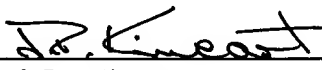
The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Respectfully submitted,

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July 9, 2002
Date



Joseph P. Kincart
Registration No. 43,716
Buckley, Maschoff, Talwalkar & Allison LLC
5 Elm Street
New Canaan, CT 06840